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PATENT

IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE

Applicant(s): LAN, Tie, et al.

Serial No.: 09/870,239

Filed: May 30, 2001

For: Intercalates and exfoliates thereof  
having an improved level of extractable  
material

Attorney Docket No.: 28682/71114

Group Art Unit: 1714

Examiner: Not Yet Assigned

) I hereby certify that this paper is  
) being deposited with the United  
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) mail in an envelope addressed to:  
) Commissioner for Patents,  
) Washington, D.C. 20231 on this  
) date:

) June 24, 2002

) *Richard H. Anderson*  
) Richard H. Anderson  
) Registration No. 26,526

) Attorney for Applicant(s)  
)

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

The patents and/or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 CFR §§ 1.56, 1.97, and 1.98. Copies of the patents or publications are enclosed as necessary.



### TIME OF FILING

This information disclosure statement is being filed:

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1. ☒ with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or, to the best of the undersigned's knowledge, before the mailing date of a first Office Action on the merits, whichever event occurs last. In accordance with 37 CFR §1.97(b), no certification or fee is required.
2. ☐ after the time period specified in paragraph 1 above, but, to the best of the undersigned's knowledge, before the mailing date of a final action under 37 CFR §1.113 or notice of allowance under 37 CFR §1.311. Therefore, in accordance with 37 CFR §1.97(c), submitted herewith is:
  - (check either A or B below)
  - A. ☐ a certification as specified in 37 CFR §1.97(e).
  - B. ☐ the fee set forth in 37 CFR §1.17(p) for submission of an information disclosure statement under 37 CFR §1.97(c).
3. ☐ after the mailing date of either a final action under 37 CFR §1.113 or a notice of allowance under 37 CFR §1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant submits herewith:
  - A. ☐ a certification as specified in 37 CFR §1.97(e);
  - B. ☐ the petition fee set forth in 37 CFR §1.17(i); and
  - C. ☐ the accompanying petition under 37 CFR §1.97(d).

### CERTIFICATION

*(only used if No. 2 or No. 3 above is checked)*

The person(s) signing below certify

**(check appropriate paragraph)**

- ☐ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 CFR §1.97(e)(1).

**OR**

- ☐ was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement. 37 CFR §1.97(e)(2).



# PETITION

(only used if No. 3 above is checked)

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Applicant(s) hereby petition(s) pursuant to 37 C.F.R. § 1.97(d) that this information Disclosure Statement, Form PTO-1449, and document(s) identified therein be considered.

## PRIOR AND RELATED APPLICATIONS

In accordance with 37 CFR §1.98(d), copies of the patents and publications listed on the enclosed PTO Form-1449 are not provided because the patents and publications were previously cited by, or submitted to, the Patent Office in a prior application that Applicant(s) rely upon for an earlier filing date under 35 U.S.C. §120. Documents listed on the attached Form PTO-1449 were cited by, or submitted to, the examiner of the following patent application(s):

Applicant(s): Shriram Bagrodia, et al.  
Serial No.: 09/870,929  
Filing Date: May 30, 2001  
Title: A Polymer Nanocomposite Comprising A Matyrix Polymer And A Layered Clay Material Having An Improved Level Of Extractable Material  
Status: Pending

## METHOD OF PAYMENT

- ☒ No fee is required.  
☐ Attached is a check in the amount of \$

The Commissioner is authorized to charge any fee deficiency required by this paper, or credit any overpayment, to Deposit Account No. 13-2855. A copy of this paper is enclosed.

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN  
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By:

Richard H. Anderson  
Reg. No: 26,526

June 24, 2002